

**UTILITY
PATENT APPLICATION
TRANSMISSION**

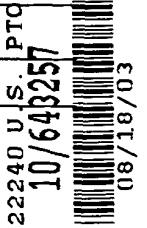
Our Docket No.:
A-71983/AJT/TJH

Date: **August 18, 2003**

First Named Inventor: **Pavel I. LAZAREV**

Title: **BACKLIGHT POLAR ORGANIC LIGHT-
EMITTING DEVICE**

Express Mail No.: **EV 182 095 521 US**



CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP PATENT APPLICATION, FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on August 18, 2003

Signer

Laura Lee Mosier
Laura Lee Mosier

ADDRESS TO:

Mail Stop Patent Application, FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

- | | |
|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification Total Pages: 38
 <input checked="" type="checkbox"/> Descriptive title of the invention
 <input checked="" type="checkbox"/> Cross References to Related Applications
 <input type="checkbox"/> Statement Regarding Fed. Sponsored R&D
 <input type="checkbox"/> Reference to Microfiche Appendix
 <input checked="" type="checkbox"/> Background of the Invention
 <input checked="" type="checkbox"/> Brief Summary of the Invention
 <input checked="" type="checkbox"/> Brief Description of the Drawings
 <input checked="" type="checkbox"/> Detailed Description
 <input checked="" type="checkbox"/> Claims
 <input checked="" type="checkbox"/> Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawings (35 U.S.C. 113) Total Sheets: 7</p> <p>5. <input type="checkbox"/> Unexecuted Oath or Declaration Total Pages:
 a. <input type="checkbox"/> Newly Executed (original or copy)</p> <p> b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)
 1. <input type="checkbox"/> DELETION OF INVENTORS
 Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))</p> <p>6. <input type="checkbox"/> Application Data Sheet (37 C.F.R. 1.76)</p> | <p>7.. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 a. <input type="checkbox"/> Computer Readable Form (CRF)
 b. <input type="checkbox"/> Specification Sequence Listing on:
 i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
 ii. <input type="checkbox"/> Paper
 c. <input type="checkbox"/> Statement verifying identify of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 C.F.R. 3.73(b) Submission</p> <p>12. <input type="checkbox"/> Power of Attorney</p> <p>13. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>14. <input type="checkbox"/> Information Disclosure Statement
(with Copies of Citations as necessary)</p> <p>15. <input type="checkbox"/> Preliminary Amendment Total Pages:</p> <p>16. <input checked="" type="checkbox"/> Return Receipt Postcard
(Should be specifically itemized)</p> <p>17. <input type="checkbox"/> Certified Copy of Priority document(s)
(if foreign priority is claimed)</p> <p>18. <input type="checkbox"/> Other</p> |
|---|---|

19. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.:

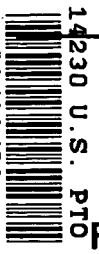
For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

CORRESPONDENCE ADDRESS

ATTY NAME	Tianjun Hou Reg. No. 51,821	SIGNATURE:	DATE: August 18, 2003
ADDRESS	DORSEY & WHITNEY LLP Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187		Customer Number: 32940
TELEPHONE	(650) 494-8700		
FAX	(650) 494-8771		

1062123

08/18/03



APPLICATION FEE TRANSMITTAL SHEET

Complete if Known	
Application No.	To be assigned
Filing Date	Herewith
First Named Inventor	PAVEL I. LAZAREV
Group Art Unit	To be assigned
Examiner Name	To be assigned
Atty. Docket Number and Title:	A-71983/AJT/TJH (463031-88) Backlight Polar Organic Light-Emitting Device

METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																																																																																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>50-2319</u> Deposit Account Name: <u>DORSEY & WHITNEY LLP</u> <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late nonprovisional filing fee or oath</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td>Submission of IDS</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>81</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>410</td> <td>216</td> <td>205</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>930</td> <td>217</td> <td>465</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,450</td> <td>218</td> <td>725</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,970</td> <td>280</td> <td>985</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>146</td> <td>750</td> <td>246</td> <td>375</td> <td>Submission After Final 1.129</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>142</td> <td>1,300</td> <td>242</td> <td>650</td> <td>Utility/Reissue Issue Fee (including advance copies)</td> <td></td> </tr> <tr> <td>143</td> <td>470</td> <td>243</td> <td>235</td> <td>Design Issue Fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>179</td> <td>750</td> <td>279</td> <td>375</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>195</td> <td>300</td> <td>195</td> <td>300</td> <td>Publication fee for early, voluntary, or normal publication</td> <td></td> </tr> <tr> <td>196</td> <td>300</td> <td>196</td> <td>300</td> <td>Publication fee for re-publication</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,300</td> <td>241</td> <td>645</td> <td>Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td colspan="6">OTHER FEE (specify)</td> </tr> <tr> <td colspan="6">Subtotal (3)</td> </tr> <tr> <td colspan="6">Total Amount of Payment: \$ 618</td> </tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		105	130	205	65	Surcharge - Late nonprovisional filing fee or oath		126	180	126	180	Submission of IDS		581	40	81	40	Recording each patent assignment per property (times number of properties)		115	110	215	55	Extension for reply within first month		116	410	216	205	Extension for reply within second month		117	930	217	465	Extension for reply within third month		118	1,450	218	725	Extension for reply within fourth month		128	1,970	280	985	Extension for reply within fifth month		146	750	246	375	Submission After Final 1.129		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		148	110	248	55	Terminal Disclaimer Fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		142	1,300	242	650	Utility/Reissue Issue Fee (including advance copies)		143	470	243	235	Design Issue Fee (inc. advance copies)		179	750	279	375	Request for Continued Examination (RCE)		195	300	195	300	Publication fee for early, voluntary, or normal publication		196	300	196	300	Publication fee for re-publication		140	110	240	55	Petition to Revive - unavoidable		141	1,300	241	645	Petition to Revive - unintentional		OTHER FEE (specify)						Subtotal (3)						Total Amount of Payment: \$ 618					
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid																																																																																																																																																																
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																	
105	130	205	65	Surcharge - Late nonprovisional filing fee or oath																																																																																																																																																																	
126	180	126	180	Submission of IDS																																																																																																																																																																	
581	40	81	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																	
115	110	215	55	Extension for reply within first month																																																																																																																																																																	
116	410	216	205	Extension for reply within second month																																																																																																																																																																	
117	930	217	465	Extension for reply within third month																																																																																																																																																																	
118	1,450	218	725	Extension for reply within fourth month																																																																																																																																																																	
128	1,970	280	985	Extension for reply within fifth month																																																																																																																																																																	
146	750	246	375	Submission After Final 1.129																																																																																																																																																																	
119	320	219	160	Notice of Appeal																																																																																																																																																																	
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																	
121	280	221	140	Request for oral hearing																																																																																																																																																																	
148	110	248	55	Terminal Disclaimer Fee																																																																																																																																																																	
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																	
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																	
142	1,300	242	650	Utility/Reissue Issue Fee (including advance copies)																																																																																																																																																																	
143	470	243	235	Design Issue Fee (inc. advance copies)																																																																																																																																																																	
179	750	279	375	Request for Continued Examination (RCE)																																																																																																																																																																	
195	300	195	300	Publication fee for early, voluntary, or normal publication																																																																																																																																																																	
196	300	196	300	Publication fee for re-publication																																																																																																																																																																	
140	110	240	55	Petition to Revive - unavoidable																																																																																																																																																																	
141	1,300	241	645	Petition to Revive - unintentional																																																																																																																																																																	
OTHER FEE (specify)																																																																																																																																																																					
Subtotal (3)																																																																																																																																																																					
Total Amount of Payment: \$ 618																																																																																																																																																																					
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th></th> <th>Number Claims</th> <th>Prior</th> <th>Extra</th> <th>Fee from Below*</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td>47</td> <td>- 20</td> <td>= 27</td> <td>x 9</td> <td>= 243</td> </tr> <tr> <td>Indep.</td> <td>2</td> <td>- 3</td> <td>= 0</td> <td>x</td> <td>=</td> </tr> <tr> <td>Multiple Dependent Claims</td> <td></td> <td></td> <td>0</td> <td>x</td> <td>=</td> </tr> <tr> <td colspan="5">Subtotal (2)</td> <td>243</td> </tr> </tbody> </table>			Number Claims	Prior	Extra	Fee from Below*	Fee Paid	Total	47	- 20	= 27	x 9	= 243	Indep.	2	- 3	= 0	x	=	Multiple Dependent Claims			0	x	=	Subtotal (2)					243	1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>114</td> <td>160</td> <td>214</td> <td>80</td> <td><input type="checkbox"/> Prov. Filing Fee</td> </tr> <tr> <td>101</td> <td>750</td> <td>201</td> <td>375</td> <td><input checked="" type="checkbox"/> Utility Filing Fee</td> </tr> <tr> <td>106</td> <td>330</td> <td>206</td> <td>165</td> <td><input type="checkbox"/> Design Filing Fee</td> </tr> <tr> <td>108</td> <td>750</td> <td>208</td> <td>375</td> <td><input type="checkbox"/> Reissue Filing Fee</td> </tr> <tr> <td colspan="4">Subtotal (1)</td> <td>375</td> </tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	114	160	214	80	<input type="checkbox"/> Prov. Filing Fee	101	750	201	375	<input checked="" type="checkbox"/> Utility Filing Fee	106	330	206	165	<input type="checkbox"/> Design Filing Fee	108	750	208	375	<input type="checkbox"/> Reissue Filing Fee	Subtotal (1)				375																																																																																																						
	Number Claims	Prior	Extra	Fee from Below*	Fee Paid																																																																																																																																																																
Total	47	- 20	= 27	x 9	= 243																																																																																																																																																																
Indep.	2	- 3	= 0	x	=																																																																																																																																																																
Multiple Dependent Claims			0	x	=																																																																																																																																																																
Subtotal (2)					243																																																																																																																																																																
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description																																																																																																																																																																	
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee																																																																																																																																																																	
101	750	201	375	<input checked="" type="checkbox"/> Utility Filing Fee																																																																																																																																																																	
106	330	206	165	<input type="checkbox"/> Design Filing Fee																																																																																																																																																																	
108	750	208	375	<input type="checkbox"/> Reissue Filing Fee																																																																																																																																																																	
Subtotal (1)				375																																																																																																																																																																	
<table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102</td> <td>84</td> <td>202</td> <td>42</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104</td> <td>280</td> <td>204</td> <td>140</td> <td>Multiple dependent Claim</td> </tr> <tr> <td>109</td> <td>84</td> <td>209</td> <td>42</td> <td>Reissue independent claims over original patent</td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>Reissue claims in excess of 20 and over original patent</td> </tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	84	202	42	Independent claims in excess of 3	104	280	204	140	Multiple dependent Claim	109	84	209	42	Reissue independent claims over original patent	110	18	210	9	Reissue claims in excess of 20 and over original patent																																																																																																																																						
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description																																																																																																																																																																	
103	18	203	9	Claims in excess of 20																																																																																																																																																																	
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																	
104	280	204	140	Multiple dependent Claim																																																																																																																																																																	
109	84	209	42	Reissue independent claims over original patent																																																																																																																																																																	
110	18	210	9	Reissue claims in excess of 20 and over original patent																																																																																																																																																																	

Submitted by: _____

Name: Tianjun Hou	Reg. No.: 51,821	Telephone: (650) 494-8700
Signature:	Date: August 18, 2003	

1062125